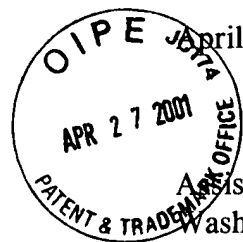




H-A SECT 4  
1299 PENNSYLVANIA AVE., NW  
WASHINGTON, DC 20004-2402  
PHONE 202.783.0800  
FAX 202.383.6610  
A LIMITED LIABILITY PARTNERSHIP



April 27, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: *U.S. Patent Application Serial No. 09/760,714*  
*Filed: January 17, 2001*  
*For: System and Method for Integrated Access*  
*to Electronic Works*  
*Inventors: Mike Oliphant, et al.*  
*Attorney Docket No.: 04997.0013.NPUS00*

Sir:

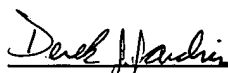
The following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Nonprovisional Application
2. Executed Declaration and Power of Attorney
3. Verified Statement of Small Entity - Small Business Concern
4. Check No.351765 for \$585.00
5. Return Receipt Postcard

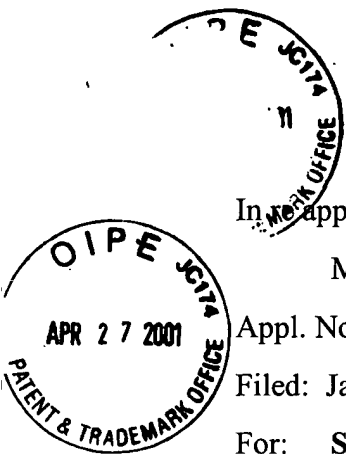
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee, or credit any overpayment, to our Deposit Account No. 08-3038. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038. A duplicate copy of this letter is enclosed.

Respectfully submitted,

  
Derek J. Jardieu (Reg. No. 44,483)

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mike Oliphant, et al.

Appl. No. 09/760,714

Filed: January 17, 2001

For: **System, Method and Apparatus  
for Integrated Access to  
Electronic Works**

Art Unit: To be Assigned

Examiner: To be Assigned

Atty. Docket: 04997.0013.NPUS00

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION  
Filing Date Granted**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**ATTN: Box Missing Parts**

Sir:

In response to the **Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted** dated March 2, 2001, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Declaration and Power of Attorney executed by the inventors;
- ☐ An original executed Power of Attorney from Assignee;  
[or]
- ☐ An original executed Power of Attorney from Assignee with Delegation;
- ☐ Assignee Certificate Under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
- ☒ Small Entity Status form;
- ☒ Return postcard; and
- ☒ Our Check No. 351765 for \$585.00.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing the above docket number. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing the above docket number.

Respectfully submitted,



Derek J. Jardieu (Reg. No. 44,483)

Date: April 27, 2001

HOWREY SIMON ARNOLD & WHITE, LLP  
Box No. 34  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2402  
(202) 783-0800



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,714	01/17/2001	Mike Oliphant	04997.0013.NPUS00

22930

HOWREY SIMON ARNOLD & WHITE LLP  
BOX 34  
1299 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004

RECEIVED  
DOCKET DEPT.

CONFIRMATION NO. 5846

FORMALITIES LETTER



\*OC000000005817666\*

MAR 07 2001

WASHINGTON, D.C.

Date Mailed: 03/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$330. 04/30/2001 SDENBOB1 00000030 09760714
  - \$90 for 5 total claims over 20. 01 FC:201 355.00 OP
  - \$240 for 3 independent claims over 3. 02 FC:203 45.00 OP
  - 03 FC:202 120.00 OP
  - 04 FC:205 65.00 OP
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1170.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY